

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3094-30

In Re Application Of: **Yukuo Katayama**

Serial No.
09/851,700

Filing Date
May 8, 2001

Examiner
n/a

Group Art Unit
1764

Title: **METHOD FOR THE GASIFICATION OF COAL**



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on July 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michelle Hennessy

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Dated: July 17, 2001

Signature

Gerald Levy
Registration No. 24,419
Pitney, Hardin, Kipp & Szuch LLP
711 Third Avenue
New York, New York 10017

212-687-6000

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

3094

Application Number

09/851,700

Applicant(s)

Yukuo Katayama

Filing Date

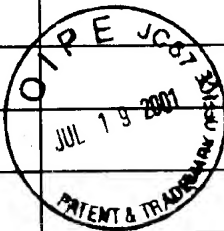
May 8, 2001

Group Art Unit

1764

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | | Jan Eurlings, Europower, Winter 1993 "Buggenum The World's Largest Coal Gasification Plant on Schedule for Demonstration" pp 55-61 |
| | | U.S. Department of Energy Office of Fossil Energy, "Market-based Advanced Coal Power Systems, Final Report, May 1999, 4.1.4.9 |
| | | S.W. Gouse et al., "Integration of Fossil and Renewable Energy Technologies to Mitigate Carbon Dioxide", 1993, Energy Convert. Mgmt Vol 34, No. 9-11, 1023-1030. |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.